## Form AT-115

# Renewal Alcohol Beverage License Application

FOR CLERKS ONLY	
Municipality	
License Period	

License(s) Requested				
Class "A" Beer \$	"Class A" Liquor	\$	License Fees	\$
Class "B" Beer \$	"Class B" Liquor	\$	Publication Fee	\$
"Class C" Wine	"Class A" Liquor (Cider Onl	y) \$ <u> </u>	Background Check	\$
Reserve "Class B" Liquor \$	"Class B" (Wine Only) Wine	ery \$ [	Total Fees	\$
Part A: Premises/Business Information	on		Section of the second section of the section of the second section of the section of the second section of the section o	
1. Legal Business Name (registered entity name	or individual's name if sole prop	orietorship)		
2. Trade Name or DBA				
3. Premises Address				
4. County	5. Municipality		6. Aldermanic District	
7. Mailing Address (if different from premises add	ress)			
8. FEIN 9. Wisconsin Seller's Permit Num		's Permit Number		
10. Premises Phone	11. Premises Email	:		
12. Entity Type (check one)				Nonprofit Organization
Describe your premises in detail. Attach same language previously approved by y changes to the premises description mus	our municipality, which may	be found on your	r most recent license	
			w	
Part B: Questions  1. Have you added or removed any partner	s. officers. directors. or man	naging members	since vour most rece	ent
application was submitted?				Yes No
If yes to question 1, please list the names, NEW members.		f any changed pe	ersons, and attach Fo	orm AT-103 for all
First Name	Last Name			
Phone	Title			Add Remove
First Name	Last Name			
Phone	Title			Add Remove
First Name	Last Name			
Phone	Title			Add Remove

Part B: Questions Cont.		
2. Has any partner, officer, director, mana Form AT-103 including updated contact etc? If yes, attach a new Form AT-103	t information, changes in address, cr	riminal history, interest restrictions,
<ol> <li>Does the licensee or any of its officers, in any other alcohol beverage wholesal explain using the space below. Attach</li> </ol>	er or producer (e.g., brewer, brewpu	ıb, winery, distillery)? If yes, please
4. Have the partners, agent, or sole prop for this license period?	orietor, satisfied the responsible beve	rerage server training requirement
<ol> <li>Is the person or business identified in P (e.g., reporter of profit/loss from the sal permit for the business location, payer</li> </ol>	le of alcohol beverages on their inco	me tax return, holder of the seller's
6. Is the business indebted to any whole	saler beyond 15 days for beer or 30	O days for liquor? Yes No
7. Does the applicant owe municipal pro	perty taxes, assessments, or other t	fees? Yes No
Part C: For Corporate/LLC Applica	anto Only	
Has your designated agent changed signated.		If yes, list the new agent name below
and attach Form AT-103 for that perso	on and a Form AT-104	Yes No
2. Agent Last Name	Agent First Name	Agent Phone Number
Part D: Attestation		
Who must sign this application?		
1	tner of a partnership • one corp	porate officer • one managing member of an LLC
fully. I agree that I am acting solely on the license. Further, I agree that the righ individual or entity. I agree to operate the from state authorized wholesalers. I undepend a refusal to allow inspection. Sany license issued contrary to Wis. Statemers, and increase of the submitting false statemers.	behalf of the applicant business and ts and responsibilities conferred by the law, income derstand that lack of access to any part buch refusal is a misdemeanor and got. Chapter 125 shall be void under parts and affidavits in connection with	rered each of the above questions completely and truth- d not on behalf of any other individual or entity seeking the license(s), if granted, will not be assigned to another cluding but not limited to, purchasing alcohol beverages portion of a licensed premises during inspection will be grounds for revocation of this license. I understand that penalty of state law. I further understand that I may be th this application, and that any person who knowingly of forfeit not more than \$1,000 if convicted.
Signature		Date
Name (Last, First, M.I.)		
Title	Email	Phone
Part E: For Clerk Use Only	Determonted to provide a head-	Indiana de la companya della companya della companya de la companya de la companya della company
Date application was filed with clerk	Date reported to governing body	Date provisional license issued (if applicable)
Date license granted	License number	Date license issued
Signature of Clerk/Deputy Clerk		1

## Form AT-115 Instructions

## Alcohol Beverage License Application Renewal

### When should I use AT-115?

- · You hold an expiring alcohol beverage retail license and would like to renew the license.
- If your legal business entity has changed or you are moving your premises to a new location outside your current municipality, use Form AT-106, *Original Alcohol Beverage License Application*.
- If you are applying for a new alcohol beverage license, you must use Form AT-106.

## Who issues alcohol beverage licenses?

Municipal clerks of cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

### How to fill out AT-115?

### License Period:

Annual licenses start July 1 and expire June 30 the following year except licenses issued by the City of Milwaukee.
 Annual licenses issued by the City of Milwaukee may be issued at any time throughout the year and are valid for one year from the date of issuance.

## Licenses Requested and License Fees:

- · Select all the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B")."
- For descriptions of each of the alcohol beverage licenses and their authorizations, see <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publication fees in that municipality cost.
- License fees for licenses issued for less than 1 year must be prorated according to the number of months or fraction of months remaining in the licensing year.

## Part A: Premises/Business Information

- Enter the legal business name in box 1.
- Enter the trade name or "doing business as" name in box 2, if different than the name in box 1.
- All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- · Check one entity type in box 12 to indicate how the business is legally organized.
- Box 9: For questions about obtaining a seller's permit, see Sellers Permit Common Questions.
- Box 13: Describe your premises in detail. Attach a floor plan if possible. If you do not want to change your premises description, use the same language previously approved by your municipality, which may be found on your most recent license certificate. Requested changes to the premises description must be approved by the municipal governing body.

**Example:** The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

### Part B: Questions

• Question 1: Answer yes if you have added a new partner, officer, director, managing member, or if someone left your business' organization.

Provide basic information for all NEW persons involved in the applicant business, including:

- Partners of a partnership
- · Officers, directors, and agent of a corporation or nonprofit organization
- Managing members and agent of a limited liability company

Example titles: Director, Chief Financial Officer, Member, Partner, etc.

• Include a Supplemental Questionnaire (Form AT-103) for each person added in this section with the submission of this application.

**NOTE**: If your business entity changed (e.g., from an LLC to a Corporation) you may not use this form. Please apply for your license with Form AT-106.

- Question 2: Answer yes to this question if any of your continuing partners, officers, directors, managing members, or agent have had changes in any information that's reported on AT-103 including:
  - Contact information
  - Address
  - · Interest restrictions
  - Criminal history

Include an updated Form AT-103 for any persons that require reporting of changes.

- Question 3: Wisconsin law generally prohibits businesses and individuals from having an interest in more than one tier of the alcohol beverage industry (production, wholesale, and retail). Some examples of prohibited interest restrictions are described in Administrative Code (<u>Tax 8.87</u>, Wis. Adm. Code).
- Question 6: A licensee may only buy liquor or beer for cash or on credit terms for a period not to exceed:
  - Beer 15 days
  - · Liquor 30 days

A person may not be issued a license if they are indebted to a wholesaler in excess of these limits

 Question 7: Renewal of licenses may be denied pursuant to a local ordinance if the licensee owes municipal taxes, assessments, or other fees.

## Part C: For Corporate/LLC Applicants Only

- · Complete this section if you checked corporation or a limited liability company in box 12, Part A.
- Question 1: Answer yes to this question if your business has a new appointed agent. Include an AT-103 for that person and an AT-104 with this application.

### Part D: Attestation

Read the attestation carefully, then sign and date.

### Part E: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approved the license to be issued.
- "Date license issued" means the date the municipal clerk issued the license certificate document.

### Completion and Submission of AT-115

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form AT-115, include:
  - Form AT-103 for the sole-proprietor; all officers, directors, and agent of a corporation or nonprofit organization; all members/partners of a partnership; all managing members and agent of a limited liability company.
  - Form AT-104 for corporation and LLC applicants
  - · License and publication fees as required by your municipality
  - esponsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 4.
  - Proof the applicant holds a seller's permit, such as a copy of the seller's permit document
     Note see <u>Publication 206</u>, Sales Tax Exemptions for Nonprofit Organizations, for information on when a nonprofit organization may be exempt from holding a seller's permit.
  - · All other information and documents required by your municipality

**NOTE:** You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u> *Alcohol Dealer Registration* and return the form to the address listed on the instructions.

## **Open Records**

This application is an open record under state law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

### **Assistance**

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your local clerk for assistance with the following:

- · Submission of this application and associated forms
- · Availability and cost of certain licenses in a community

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov)

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

## Resources Provided by the Department of Revenue

Publication 302 DOR Alcohol Beverage Laws for Retailers Licenses

Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas

## Form AT-103

## Alcohol Beverage License Application Supplemental Questionnaire

This form must be submitted to the municipal clerk, and be accompanied by one or more of the following forms: AT-104, AT-106, AT-108, AT-115, or AT-200. One Form AT-103 must be completed by each person involved in the applicant business or parent company including:

· sole proprietor

- all officers, directors, and agent of a corporation or nonprofit organization
- · all partners of a partnership
- · managing members and agent of a limited liability company

Your alcohol beverage application or renewal is not complete until all required Supplemental Questionnaires are submitted.

Part A: Premises/Business Information					
1. Registered Entity Name (or individual name if sole proprietor)					
2. Trade Name or DBA					
3. Entity Type (check one)	PORTOR DE LA CONTRACTOR D				
☐ Sole Proprietor ☐ Partnership ☐ Limited Liabili	ty Company	y Corporation Nonprofit Organization			
Part B: Individual Information					
1. Name (Last, First, M.I.)					
Relationship to Registered Entity (Title)     3. Email	4. Phone				
5. Home Address					
6. City	7. State	8. Zip Code 9. Date of Birth			
10. Drivers License/State ID Number		11. Drivers License/State ID State of Issuance			
10. Drivers License/State ID Number		11. Drivers License/State ID State of Issuance			
Part C: Address History					
List in chronological order your last two residence addresses with	in the last 5	5 years.			
Previous Address 1					
Previous City, State, Zip		Dates (MM/YYYY - MM/YYYY)			
Previous Address 2					
Previous City, State, Zip	Dates (MM/YYYY - MM/YYYY)				
Part D: Employment History					
List in chronological order your last two employers within the last	5 years.				
Employer's Name					
Employer's Address Dates Emp		Dates Employed (MM/YYYY - MM/YYYY)			
Employer's Name					
Employer's Address		Dates Employed (MM/YYYY - MM/YYYY)			

Part E: Criminal History				
Have you ever been convicted of any offenses (other than traffic offenses unr for violation of any federal, Wisconsin, or another state's laws or of any count	y or municipal	ordinances?	Yes	☐ No
If yes to question 1, please list details of each conviction below. Attach addition	nal sheets as	needed.		
Law/Ordinance Violated	-	Trial Date		
Penalty Imposed	Was sentend	ce completed?	Yes	□ No
Law/Ordinance Violated		Trial Date		
Penalty Imposed	Was sentend	ce completed?	Yes	☐ No
Are charges for any offenses currently pending against you (other than traffic beverages) for violation of any federal, Wisconsin, or another state's laws or ordinances?  If yes to question 2, describe nature and status of pending charges using the sheets as needed.	any county or	municipal	Yes	☐ No
sneets as needed.				
Part F: Questions				
Have you lived in any state other than Wisconsin as an adult? If yes, please If no, continue to question 2			Yes	☐ No
How long have you continuously lived in Wisconsin prior to the date of application.	cation?	Years	Months	
3. Do you hold a direct or indirect interest in any alcohol beverage wholesaler of brewpub, winery, distillery)? If yes, please explain using the space below. At	or producer (e. tach additiona	g. brewer, I sheets as needed.	Yes	☐ No
Part G: Attestation				
<b>READ CAREFULLY BEFORE SIGNING:</b> I understand that any license issunder penalty of state law. I further understand that I may be prosecuted for su with this application, and that any person who knowingly provides materially to forfeit not more than \$1,000 if convicted.	ubmitting false	e statements and affid	avits in cor	nnection
Signature		Date		

## Form AT-103 Instructions

Alcohol Beverage License Application/Supplemental Questionnaire

## Who must complete Form AT-103?

All persons involved in the applicant business who are partners of a partnership, officers, directors, managing members, sole proprietors, or agents. These persons must be identified in the schedule for appointment of agent (Form AT-104), original license application (Form AT-106), retail license transfer (Form AT-108) the renewal license application (Form AT-115), or the appointment of successor agent (Form AT-200).

### Where do I submit Form AT-103?

Submit this form with Form AT-104, AT-106, AT-108, AT-115, or AT-200 to the clerk of the municipality in which the applicant business is located.

## **Specific Instructions**

### Date

· Date the form in the top left corner.

### Part A: Premises/Business Information

- Enter the legal business name in box 1. If sole proprietor, enter the individual's first and last name.
- Enter the trade name or "doing business as" name in box 2, if different than the name in box 1.
- Check one entity type in box 3 to indicate how the business is legally organized.

Note: This business information must match the information on the license application (Form AT-106 or AT-115).

### Part B: Individual Information

- · Provide all requested personal information.
- For box 2: Enter your title or describe your relationship to the business. Examples: President, Treasurer, Director, Chief Financial Officer, Member, Partner, Agent, etc.

#### Part C: Address History

· List your two most recent addresses within the past five years.

## Part D: Employment History

• List your two most recent employers/business ventures within the past five years.

### Part E: Criminal History

- Question 1: Disclose any civil or criminal violations of law in any jurisdiction (federal, state, or local ordinance), and include detailed descriptions of any violations of law involving alcohol beverages (OWI, disorderly conduct, etc.).
- Question 2: Disclose any pending charges against you in any jurisdiction and include detailed descriptions of any charges involving alcohol beverages.

Note: Subject to the Wisconsin Fair Employment Law (Ch. 111, Wis. Stats.), persons with convictions or pending charges may, if those offenses are sufficiently relevant, be prohibited from holding a retail alcohol beverage license under sec. 125.04(5)(a)(1) Wis. Stats. See the Department of Revenue's Permit Predetermination Common Questions for offenses that may prevent someone from holding a license.

### Part F: Questions

- Question 4: Wisconsin law generally prohibits businesses and individuals from having an interest in more than one tier of the alcohol beverage industry (production, wholesale, and retail). Disclose whether you hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery).
- Examples of prohibited interest restrictions are described in Administrative Code (<u>Tax 8.87</u>, Wis. Adm. Code).

**Note:** If you hold a direct or indirect interest in an alcohol beverage wholesaler or producer, you may not be eligible to hold an alcohol beverage license. Before submitting this form, reach out to your municipal clerk or the Department of Revenue.

### Part G: Attestation

· Read the attestation carefully, then sign and date.

### **Assistance**

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- · Submission of the retail license application and supplemental forms
- Availability and cost of certain licenses

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov)

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

## Resources Provided by the Department of Revenue

License frequently asked questions

Publication 302 DOR Alcohol Beverage Laws for Retailers Licenses

Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas

## Schedule for Appointment of Agent by Corporation / Nonprofit Organization or Limited Liability Company

Submit to municipal clerk.

All corporations/organizations or limited liability companies applying for a license to sell fermented malt beverages and/or intoxicating liquor must appoint an agent. The following questions must be answered by the agent. The appointment must be signed by an officer of the corporation/organization or one member/manager of a limited liability company and the recommendation made by the proper local official. Town To the governing body of: Village The undersigned duly authorized officer/member/manager of \_\_\_\_\_ (Registered Name of Corporation / Organization or Limited Liability Company) a corporation/organization or limited liability company making application for an alcohol beverage license for a premises known as (Trade Name) located at \_\_\_\_\_ appoints \_\_\_\_\_ (Name of Appointed Agent) (Home Address of Appointed Agent) to act for the corporation/organization/limited liability company with full authority and control of the premises and of all business relative to alcohol beverages conducted therein. Is applicant agent presently acting in that capacity or requesting approval for any corporation/ organization/limited liability company having or applying for a beer and/or liquor license for any other location in Wisconsin? Yes If so, indicate the corporate name(s)/limited liability company(ies) and municipality(ies). Is applicant agent subject to completion of the responsible beverage server training course? ☐ Yes How long immediately prior to making this application has the applicant agent resided continuously in Wisconsin? Place of residence last year (Name of Corporation / Organization / Limited Liability Company) (Signature of Officer / Member / Manager) Any person who knowingly provides materially false information in an application for a license may be required to forfeit not more than \$1.000. **ACCEPTANCE BY AGENT** \_\_\_\_\_, hereby accept this appointment as agent for the (Print / Type Agent's Name) corporation/organization/limited liability company and assume full responsibility for the conduct of all business relative to alcohol beverages conducted on the premises for the corporation/organization/limited liability company. Agent's age \_\_\_\_\_ (Signature of Agent) (Date) Date of birth (Home Address of Agent) APPROVAL OF AGENT BY MUNICIPAL AUTHORITY (Clerk cannot sign on behalf of Municipal Official) I hereby certify that I have checked municipal and state criminal records. To the best of my knowledge, with the available information, the character, record and reputation are satisfactory and I have no objection to the agent appointed. Approved on (Signature of Proper Local Official) (Town Chair, Village President, Police Chief)

AT-104 (R. 4-18)

Wisconsin Department of Revenue